MAY 1 6 2005

# N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Frank Masciarelli

Serial No.:

10/764,344

Filing Date:

January 23, 2004

For:

METHODS AND APPARATUS FOR PROVIDING

UNINTERRUPTIBLE POWER

Examiner:

Not Yet Assigned

Art Unit:

2836

Confirmation No.:

5778

# **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450, on the 12th day of May, 2005.

Thomas M. Sullivan, Esq. (Reg. No. 39,392)

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# **TRANSMITTAL**

Sir:

Transmitted herewith in connection with the above-identified patent application are the following documents:

[X] Information Disclosure Statement (3 pgs.);

[X] PTO Form 1449 (in duplicate - 2 pgs.);

[X] References Cited (three (3) U.S. Applications and C1); and

[X] Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

Applicant(s): Frank Masciarelli

U.S.S.N: 10/764,344

Page 2 of 2

The Commissioner is hereby authorized to charge any additional or overpayments to Deposit Account No. 50/2762; Ref. No. A2000-700119. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

By:

Thomas M. Sullivan, Esq. (Reg. No. 39,392) LOWRIE, LANDO & ANASTASI, LLP

Riverfront Office Park

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Cambridge, MA 02142

Tel: (617) 395-7024 Fax: (617) 395-7070 Attorney for Applicant

Dated: May 12, 2005

Attorney Docket No.: A2000-700119

764158.1

# MAY 1 6 2005 IN T

## Attorney Docket No. A2000-700119

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Thomas M. Sullivan, Esq. (Reg. No. 39,392)

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

# PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

Applicant: Frank Masciarelli

U.S.S.N.: 10/764,344

# PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

Serial No.	Filing Date	Inventor(s)
10/764,343	01/23/2004	Srdan Mutabdzija et al.
10/763,813	01/23/2004	James E. Briggs et al.
10/763,811	01/23/2004	David Schuttler et al.

## PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

Applicant: Frank Masciarelli

U.S.S.N.: 10/764,344

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

Thomas M. Sullivan, Esq. (Reg. No. 39,392)

LOWRIE, LANDO & ANASTASI, LLP

Riverfront Office Park

One Main Street

Cambridge, MA 02142

Tel.: (617) 395-7024 Fax: (617) 395-7070 Attorney for Applicant

Dated: May 12, 2005

Attorney Docket No.: A2000-700119

FORM PTO-1449/A and B (Modified)

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of

2

APPLICATION NO.: 10/764,344

ATTY. DOCKET NO.: A2000-700119

FILING DATE: January 23, 2004

**CONFIRMATION NO.: 5778** 

APPLICANT(S):

Frank Masciarelli

GROUP ART UNIT: 2836

EXAMINER:

Not Yet Assigned

#### **U.S. PATENT DOCUMENTS**

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document	
		Number	Kind Code	Thank of the second of the product of the second of the se	MM-DD-YYYY	
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	A2	*4,673,826		Masson	06/16/1987	
-	A3	*4,709,202		Koenck et al.	11/24/1987	
	A4	*4,763,013		Gvoth, Jr. et al.	08/09/1988	
	<b>A</b> 5	*4,816,982		Severinsky	03/28/1989	
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FORM PTO-1449/A and B (Modified)  INFORMATION DISCLOSURE STATEMENT BY APPLICANT			APPLIC	CATION NO.: 10/764,344	ATTY. DOC	700119				
			FILING	FILING DATE: January 23, 2004 CONFIRMATION 1						
			APPLIC	APPLICANT(S): Frank Masciarelli						
Sheet 2 of 2			GROUF	PART UNIT: 2836	EXAMINER: Not Yet Assigne					
					U.S.	U.S. PATENT DOCUMENTS				
Examiner's Initials	Cite	U.S. Patent Document		Nom	Name of Patentee or Applicant of Cited Document		Date of Publication or of issue			
	No.	Numb	er	Kind Code	- Nan	of Patentee of Applicant of Cited Document		of Cited Document MM-DD-YYYY		
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Examiner's	Cite	Foreign Patent Documen			ent			Date of Publication of	Translation	
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minus	Cite No.	(book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.						(1/10)		
	C1	Symmetra Brochure, "Server, Network and Telecom Power Soultions," pps. 1-20.								
	C2									
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#EXAMINER: I	nitial if ref	erence conside	red, whether	or not cit	ation is in co	nformance with MPEP 609; Draw line (	hrough citation if	not in conformance and	not considered.	
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[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.] 764105.1

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No.\_\_\_\_\_\_, filed\_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation-in-part, and divisional applications), or a copy of this reference need not be provided as the reference is \*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_ a published U.S. Patent or U.S. Patent application and the present application was filed after June 30, 2003.